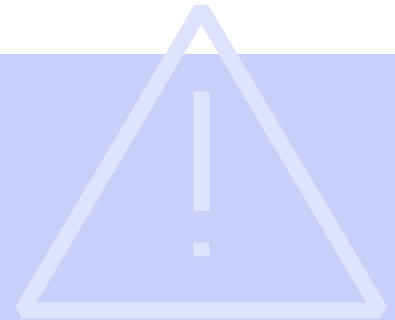


MISSION CE CLIMATE



**MISSION CE CLIMATE**

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**RISK AND  
VULNERABILITY  
ASSESSMENT**

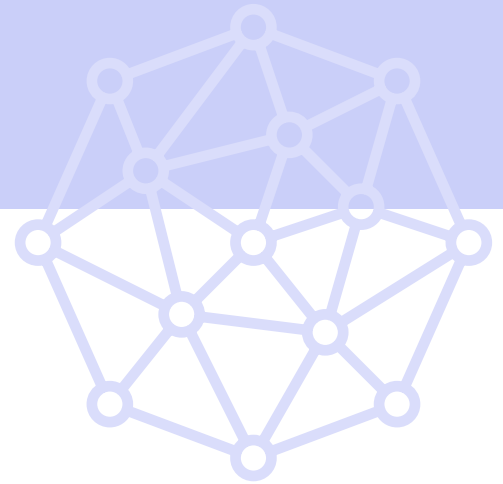
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- ✓ SCOPE AND METHODOLOGY
- ✓ STRUCTURE
- ✓ ADDITIONAL ASSESSMENT METHODS

<b>Introduction</b>	<b>3</b>
<b>Scope and Methodology</b>	<b>5</b>
Methodology	6
MISSION CE CLIMATE Indicator Framework	8
<b>Structure of the RVA</b>	<b>9</b>
STEP 1: Analysing Past and Present Climate Impacts	9
STEP 2: Analysing Climate Projections and Future Impacts	10
STEP 3: Conducting Indicator-Based RVA Using the MISSION CE CLIMATE TOOL	11
STEP 4: Understanding the Role of Surrounding Areas in Adaptation	12
STEP 5: Identifying Main Adaptation Concerns and Defining Objectives	13
<b>Additional Assessment Methods (optional)</b>	<b>14</b>
Risk Systemicity Questionnaire	15
Quick Risk Estimation	15
<b>Conclusion</b>	<b>16</b>



# Introduction



While countries of the EU are for some time planning and advancing green agendas for their future societal development, the detrimental anthropogenic impact on the environment and specifically climate already carries tangible consequences. Our climate is already notably changing, with approximately 1.1 °C of global average warming compared to the preindustrial era. Climate change already affects many worldwide weather and climate extremes in every region and manifests in widespread adverse impacts and related losses and damages to nature and people.<sup>1</sup>

Climate action to limit global warming to 1.5 °C is proving challenging and off track from the progress required to meet our 2030 climate targets and protect our well-being and prosperity.<sup>2</sup> Global GHG emissions are higher today than in 2015, when most countries adopted the Paris Agreement.

Even when fully implemented, current national climate commitments are estimated to lead to roughly 2.4 °C to 2.8 °C global temperature increase by the end of the century. At the same time, approximately 3.3 to 3.6 billion people live in highly vulnerable contexts to climate change. In such a scenario, climate hazards, exposure to them and vulnerabilities of our communities and systems can correspond to massive risks and adverse impacts on people, economies, and the environment (damages, harms, economic and non-economic losses).<sup>3</sup>

Hence, societies and economies in all regions need to prepare for and adapt to the arising impacts of climate change. Adaptation interventions aim to reduce risks and vulnerability at different levels (sectoral, national, or local), primarily via adjusting or transforming existing systems.

The map below, developed by EEA, portrays some of the core climate change impacts that have either already been observed or are projected to happen across different regions of Europe.

The latest IPCC report (AR6) reveals that globally, observed adaptation responses are fragmented, incremental, sector-specific, and unequally distributed across global regions, with increasing occurrences of maladaptation. The report outlines examples of effective adaptation options, including ecosystem-based adaptation approaches such as urban greening and restoration of wetlands and upstream forest ecosystems, which have been effective in reducing flood risks and urban heat; combinations of non-structural measures like early warning systems and structural measures like levees which have reduced loss of lives in case of inland flooding. Adaptation options such as disaster risk management, early warning systems, climate services and social safety nets have broad applicability across multiple sectors.

This underdeveloped climate adaptation context supports further action in risk and vulnerability assessment as a basis for informed, effective, strategic adaptation planning and impactful action for improving the climate resilience of our communities.

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<sup>1</sup> IPCC AR6, 2023

<sup>2</sup> State of Climate Action 2022, Systems Change Lab, 2022

<sup>3</sup> State of Climate Action 2022, Systems Change Lab, 2022

**Arctic region**

Temperature rise much larger than global average  
 Decrease in Arctic sea ice coverage  
 Decrease in Greenland ice sheet  
 Decrease in permafrost areas  
 Increasing risk of biodiversity loss  
 Some new opportunities for the exploitation of natural resources and for sea transportation  
 Risks to the livelihoods of indigenous peoples

**Atlantic region**

Increase in heavy precipitation events  
 Increase in river flow  
 Increasing risk of river and coastal flooding  
 Increasing damage risk from winter storms  
 Decrease in energy demand for heating  
 Increase in multiple climatic hazards

**Boreal region**

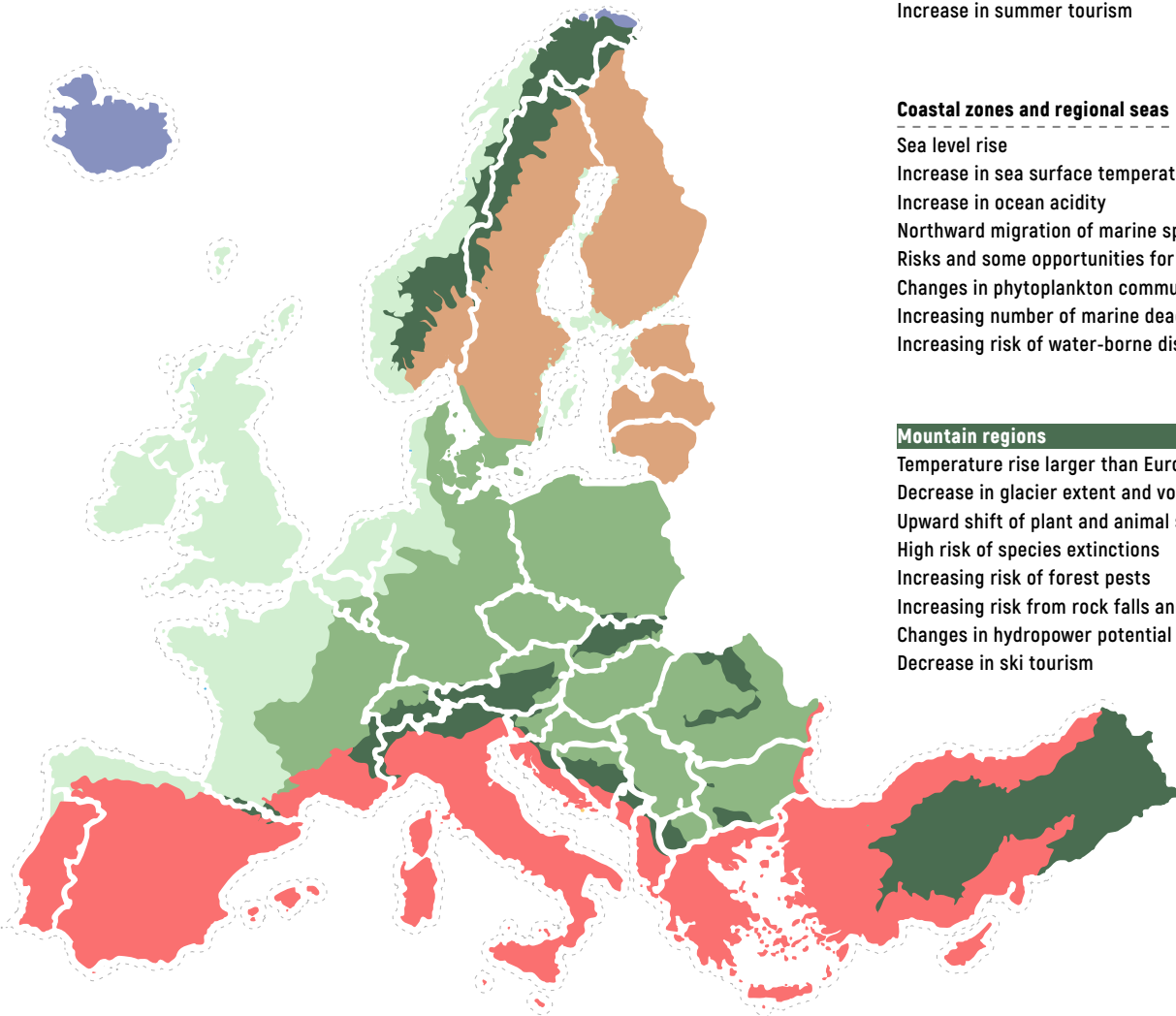
Increase in heavy precipitation events  
 Decrease in snow, lake and river ice cover  
 Increase in precipitation and river flows  
 Increasing potential for forest growth and increasing risk of forest pests  
 Increasing damage risk from winter storms  
 Increase in crop yields  
 Decrease in energy demand for heating  
 Increase in hydropower potential  
 Increase in summer tourism

**Coastal zones and regional seas**

Sea level rise  
 Increase in sea surface temperatures  
 Increase in ocean acidity  
 Northward migration of marine species  
 Risks and some opportunities for fisheries  
 Changes in phytoplankton communities  
 Increasing number of marine dead zones  
 Increasing risk of water-borne diseases

**Mountain regions**

Temperature rise larger than European average  
 Decrease in glacier extent and volume  
 Upward shift of plant and animal species  
 High risk of species extinctions  
 Increasing risk of forest pests  
 Increasing risk from rock falls and landslides  
 Changes in hydropower potential  
 Decrease in ski tourism



**Continental region**

Increase in heat extremes  
 Decrease in summer precipitation  
 Increasing risk of river floods  
 Increasing risk of forest fires  
 Decrease in economic value of forests  
 Increase in energy demand for cooling

**Mediterranean region**

Large increase in heat extremes  
 Decrease in precipitation and river flow  
 Increasing risk of droughts  
 Increasing risk of biodiversity loss  
 Increasing risk of forest fires  
 Increased competition between different water users  
 Increasing water demand for agriculture  
 Decrease in crop yields  
 Increasing risks for livestock production  
 Increase in mortality from heat waves  
 Expansion of habitats for southern disease vectors  
 Decreasing potential for energy production  
 Increase in energy demand for cooling  
 Decrease in summer tourism and potential increase in other seasons  
 Increase in multiple climatic hazards  
 Most economic sectors negatively affected  
 High vulnerability to spillover effects of climate change from outside Europe

# Scope and Methodology

The scope of this document is to provide partners of the MISSION CE CLIMATE project with a usable methodology that can support their efforts in vulnerability assessment and planning for greater climate resilience in their target area.

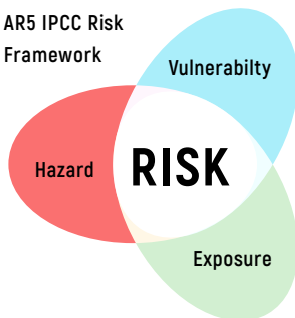
Planning adaptation and building resilience at the local level requires understanding the current and projected climate hazards, the exposed and vulnerable sectors of the city/area and the level of preparedness and adaptation achieved.

Climate risk and vulnerability are not directly measurable characteristics of a system, such as a temperature or precipitation, but rather concepts that express the complex interaction of different factors that determine a system's susceptibility to the impacts of climate change.

A Climate Risk and Vulnerability Assessment (RVA) determines the nature and extent of climate risks by analysing potential climate hazards, exposure to them, and vulnerability of receptors (people, communities, livelihoods, property, ecosystems) to potential threat or harm, as well as analysing adaptation responses or scale of adaptation in each context.

There is a constant development of thought in climate and vulnerability risk assessment. Intersectional and complex interactions among drivers of risk and vulnerability are reflected in the IPCC AR6 risk framework through 4 key categories: Hazards, Vulnerability, Exposure and Responses (scale of adaptation).

AR5 IPCC Risk Framework



AR5 and future of the IPCC Risk Framework



## DEFINITION

**Hazards:** The potential occurrence of a natural or human-induced physical event or trend or physical impact that may cause loss of life, injury, or other health impacts, as well as damage and loss to property, infrastructure, livelihoods, service provision, ecosystems, and environmental resources. In this report, the term hazard usually refers to climate-related physical events or trends or their physical impacts. (IPCC)

**Exposures:** The presence of people, livelihoods, species or ecosystems, environmental functions, services, resources, infrastructure, or economic, social, or cultural assets in places and settings that could be adversely affected. (IPCC)

**SOURCE** Simpson, Nicholas et al. (2022). White Paper II: Impacts, vulnerability, and understanding risks of climate change for culture and heritage (ICOMOS).

**Vulnerabilities:** Vulnerability is “the degree to which a system is susceptible to, or unable to cope with, adverse effects of climate change, including climate variability and extremes.”<sup>4</sup> The vulnerability of ecosystems and people to climate change differs substantially among and within regions (very high confidence, driven by patterns of intersecting socioeconomic development, unsustainable ocean and land use, inequity, marginalisation, historical and ongoing patterns of inequity such as colonialism, and governance. Human and ecosystem vulnerability are interdependent. (IPCC, AR6)

**Adaptation responses:** Adaptive responses to current climate change are about reducing climate risks and vulnerability, mostly via adjustment of existing systems. Many adaptation options exist and are used to help manage projected climate change impacts, but their implementation depends upon the capacity and effectiveness of governance and decision-making processes. These and other enabling conditions also support climate-resilient development. (IPCC, AR6)

<sup>4</sup> McCarthy et al., 2001, p. 995

Example of climate change impacts on mental health and adaptation responses in North America

**SOURCE** IPCC AR6

<b>Hazard</b>	<b>Vulnerability</b>	<b>Exposure</b>	<b>Key adaptation responses</b>
<p><b>Acute events</b> (eg. storms, floods, heat events, wildfires)</p> <p><b>Chronic changes</b> (e.g. drought, changing seasonal and environmental norms)</p>	<p><b>Socio-economic inequities</b></p> <p><b>Indigenous identity</b></p> <p><b>Pre-existing health conditions</b> (e.g. chronic physical and mental health conditions)</p> <p><b>Occupation</b> (e.g. farmers , Indigenous livelihoods, fishers)</p> <p><b>Gender</b> (genders differentially affected)</p> <p><b>Age</b> (e.g. youth and seniors particularly at risk)</p>	<p><b>Direct exposure(s)</b> (e.g. experiencing acute or chronic hazard events)</p> <p><b>Indirect exposure(s)</b> (e.g. displacement, relocation, disruptions to food systems, cultural activities, place-based knowledge sharing and livelihoods)</p> <p><b>Vicarious exposure(s)</b> (e.g. seeing trends and family suffer, mediated experiences of climate change, anticipating future charges)</p>	<p>Scale of adaptation</p> <p><b>Institutional</b> <b>State and state-level actors</b> Enhanced locally-based and culturally-relevant mental health services Informed policies, early interventions</p> <p><b>Community</b> Community planning and design for current and future climate risks, increased climate-informed wellness programming, climate-focused training for health providers</p> <p><b>Individuals</b> Awareness, validation, preparedness, mental health supports, land-based programming and nature therapy</p>

The RVA is a valuable tool to identify, analyse and assess vulnerabilities and potential risks in cities, to inform the adjustments or transformation of current practices, strategies and plans to minimise exposure to hazards, reduce vulnerability and increase the resilience of impacted communities. Many climate risks are either directly or indirectly related to poor (or outdated) governance, design, planning and management of services and infrastructure in cities, unbalanced development models (socio-economic pathways) that don't account for social and environmental boundaries (i.e. meeting essential social needs with a sustainable level of resource use)<sup>5</sup>, and lifestyle choices of citizens.

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<sup>5</sup> Derives from Doughnut Economics theory  
[www.kateraworth.com/doughnut](http://www.kateraworth.com/doughnut)

## **Methodology**

A variety of methods exist for conducting the RVA assessments. For this project, we propose the use of the following ones:

- **MISSION CE CLIMATE RVA Assessment** – Assessment based on the MISSIONS CE CLIMATE indicator framework developed within the project and explained below. This RVA assessment is based on a broad set of indicators pointing to each territory's relative strengths and weaknesses. The indicators span four dimensions: hazards, exposure, vulnerability, and adaptation responses (capacities).
- Two optional methods that could be deployed in the MISSION CE CLIMATE RVA process: Risk Systemicity Questionnaire and/or Quick Risk Estimation

These two methods have not been developed by the project but have been identified as valuable RVA tools that could be used by partners to further their local analysis. Both methods can be valuable to local RVA assessment efforts as they propose exploring risk and vulnerabilities through somewhat different angles/lenses.

Since the MISSION CE CLIMATE project strives to work and build local resilience capacities to climate change on a systemic level, the approach proposed for the vulnerability assessment aligns with this thinking.

As stated in the EU Missions Adaptation to Climate Change Implementation Plan, for the overall EU Mission to accelerate transformative change, particular attention must be paid to generating conditions that enable such change (e.g. enabling conditions).

The primary purpose of the MISSION CE CLIMATE risk and vulnerability assessment is, therefore, to **support local teams in identifying relative strengths and weaknesses** of current conditions in their target area so that they can, in the following steps, design meaningful plans and implement impactful measures for strengthening resilience, mitigating climate risks, and reducing vulnerabilities.

Aligned with this thinking, the MISSION CE CLIMATE RVA does not aim to derive a singular RVA score for any given sector, risk or a distinct RVA score for the target areas (city, region, etc.)<sup>6</sup> but rather to provide a sound, science-based framework that will support local teams in exploring multi-dimensional and interrelated local risk and vulnerability context, across four core dimensions with the support of the MISSION CE CLIMATE Indicator Framework.

The four dimensions are as follows:

- The **vulnerabilities** are explored by analysing and assessing socio-economic conditions, governance and developmental pathways pursued. These conditions can either be an obstacle or an enabler of climate adaptation and, more broadly, enablers of transformative changes needed to form resilient communities in the face of escalating global challenges (climate change is but one).
- **Responses and adaptation capacities** are explored and assessed through indicators on human, community and institutional agency, state of preparedness for hazards, financial and economic capabilities, and natural resources integrity.
- Suggested **hazards** to be assessed are heat, floods, water scarcity, landslides, wildfires and extreme weather events.
- An **exposure risk** needs to be determined for each hazard, likewise through a set of predetermined indicators.

The simple dashboard-style assessment approach should also provide a helpful platform for initiating a joint, participatory assessment discussion process with local stakeholders.<sup>7</sup>

The primary purpose of the dashboard format assessment is to identify the strengths to be nurtured, highlight “red-alert” areas where improvement is most acutely needed, identify critical cross-dimensional drivers that could lead to progress across all categories, and inform further sector or risk-specific analysis and policy action. For example, a specific indicator may point to vulnerabilities or bottlenecks despite a good situation in other areas of the same category. Overall, different climate risks are represented by multiple indicators across outlined categories. It is thus essential to look at all indicators simultaneously to understand the underlying complexity and get an overview of the breadth of interventions needed across different areas (social, economic, institutions, finance, data, etc.).

MISSION CE CLIMATE RVA indicators framework is a tool developed within this project to provide a meaningful basis for data-gathering, knowledge building, constructive cross-sectoral discussions, and joint assessment of local conditions by local Climate Missions.<sup>8</sup>

The local MISSION CE CLIMATE RVA will provide the foundations for the development/improvement of local adaptation strategies and action plans planned in the project’s next steps.

Furthermore, the intention of the participatory assessment process based on the proposed methodology is to support relationship-building between local stakeholders. In addition, such an assessment process should enable the development of a shared understanding of the core areas needing adaptation interventions through joint exploration of challenges and strengths of the local system.

<sup>6</sup> Using the impact-chain-based indicator assessment or spatially explicit RVA assessments is still an option in addition to the MISSION CE CLIMATE RVA assessment. For guidance on these approaches, see: [www.adaptationcommunity.net/download/va/vulnerability-guides-manuals-reports/vuln\\_source\\_2017\\_EN.pdf](http://www.adaptationcommunity.net/download/va/vulnerability-guides-manuals-reports/vuln_source_2017_EN.pdf)  
[www.climate-adapt.eea.europa.eu/en/knowledge/tools/urban-ast/step-2-0](http://www.climate-adapt.eea.europa.eu/en/knowledge/tools/urban-ast/step-2-0)  
[www.eu-mayors.ec.europa.eu/en/resources/reporting](http://www.eu-mayors.ec.europa.eu/en/resources/reporting)

<sup>7</sup> This can be a part of Climate Missions activation processes

<sup>8</sup> Missions will function as climate resilience living labs and will co-create and design climate resilience strategy and local action plans

## MISSION CE CLIMATE Indicator Framework

The MISSION CE CLIMATE Indicator Framework has been developed through an extensive literature review. It represents a composite of selected indicators deriving from various resilience, climate-related RVA, and climate adaptation-related frameworks. Its development focused on selecting indicators that align well with assessing local conditions and systems that can enable more adaptive and resilient communities.

Core indicator frameworks used:

- Resilience Dashboard<sup>9</sup>
- RESIN Risk Typology (EU Climate Risk Typology Map)<sup>10</sup>
- EEA Urban Adaptation Indicators<sup>11</sup> (Map<sup>12</sup>)
- Resilience Maturity Model<sup>13</sup>
- Sustainable Development Goals Indicators<sup>14</sup>
- Eurostat Environment Indicators<sup>15</sup>  
& Regions and Cities Indicators<sup>16</sup>

The MISSION CE CLIMATE Indicator Framework (tool) is an Excel spreadsheet with separate worksheets for Hazard Indicators, Exposure Indicators, Vulnerability Indicators and Response / Adaptive Capacities Indicators.

The assessment is done by gathering the latest data for each indicator and illustrating the relative position of the data point based on the selected scoring method (usually relative ranking based on specific data range) from high to low as follows.

### Suggested illustration of the indicator score

Hazard / Exposure / Vulnerability	<b>Highest</b>	<b>Medium-high</b>	<b>Medium</b>	<b>Medium-low</b>	<b>Lowest</b>
Responses / Capacities	<b>Highest</b>	<b>Medium-high</b>	<b>Medium</b>	<b>Medium-low</b>	<b>Lowest</b>

Users are encouraged to add any indicators relevant to their context currently not included in the framework. The proposed framework should not be considered exclusive to further suggestions or conclusive because it is an evolving tool. New knowledge is constantly generated in the climate change field and in developing innovative indicators and datasets related to adaptation and resilience.

<sup>9</sup> [www.commission.europa.eu/strategy-and-policy/strategic-planning/strategic-foresight/2020-strategic-foresight-report/resilience-dashboards\\_en](http://www.commission.europa.eu/strategy-and-policy/strategic-planning/strategic-foresight/2020-strategic-foresight-report/resilience-dashboards_en)

<sup>10</sup> [www.european-crt.org/map](http://www.european-crt.org/map)

<sup>11</sup> [www.climate-adapt.eea.europa.eu/en/knowledge/tools/urban-adaptation/Urban-Adaptation-viewer-datasets](http://www.climate-adapt.eea.europa.eu/en/knowledge/tools/urban-adaptation/Urban-Adaptation-viewer-datasets)

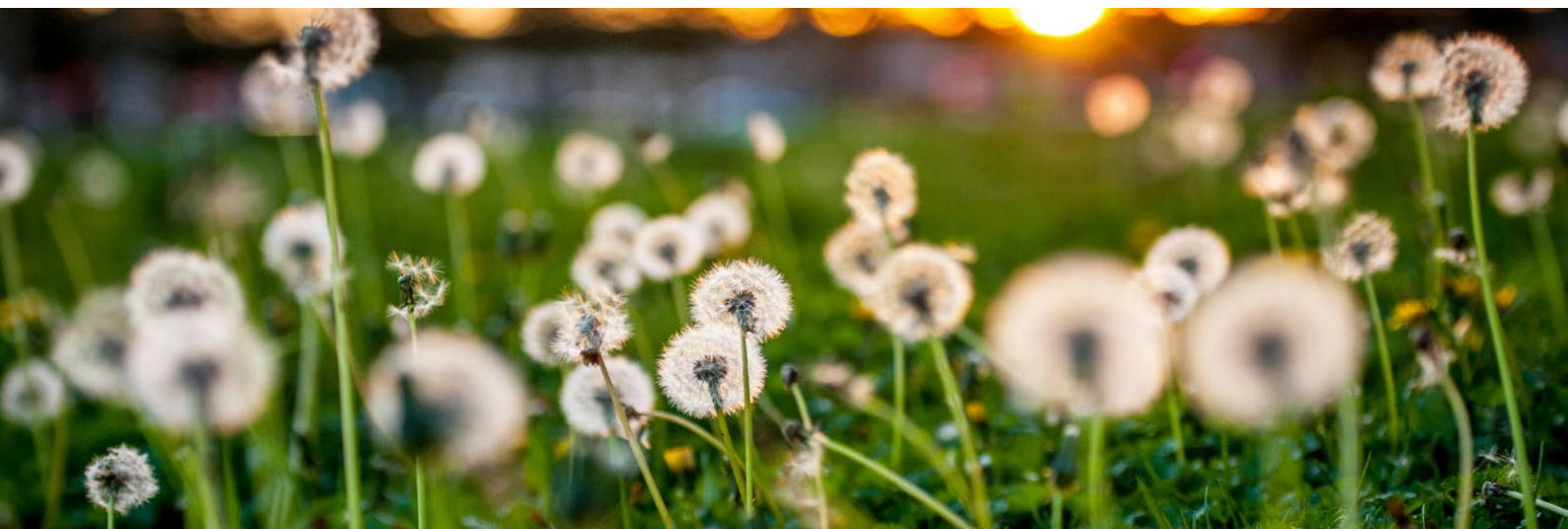
<sup>12</sup> [www.climate-adapt.eea.europa.eu/en/knowledge/tools/urban-adaptation](http://www.climate-adapt.eea.europa.eu/en/knowledge/tools/urban-adaptation)

<sup>13</sup> [www.smr-project.eu/tools/maturity-model-guide/resilience-maturity-model/](http://www.smr-project.eu/tools/maturity-model-guide/resilience-maturity-model/)

<sup>14</sup> [www.ec.europa.eu/eurostat/web/sdi/database](http://www.ec.europa.eu/eurostat/web/sdi/database)

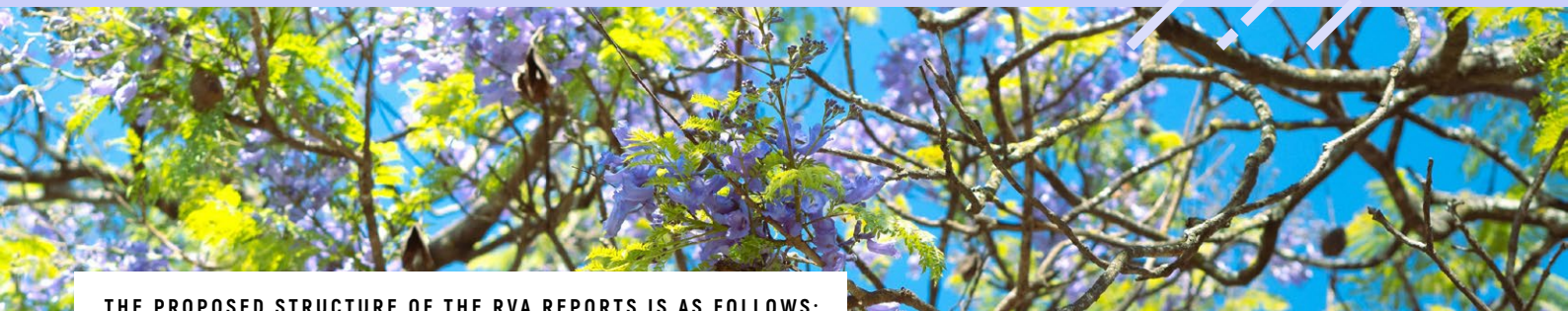
<sup>15</sup> [www.ec.europa.eu/eurostat/web/environment/database](http://www.ec.europa.eu/eurostat/web/environment/database)

<sup>16</sup> [www.ec.europa.eu/eurostat/web/regions-and-cities](http://www.ec.europa.eu/eurostat/web/regions-and-cities)





# Structure of the Risk and Vulnerability Assessment



THE PROPOSED STRUCTURE OF THE RVA REPORTS IS AS FOLLOWS:

## STEP 1

### Analysing Past and Present Climate Impacts<sup>17</sup>

Analysing the present climate and learning more about the extreme weather events that have happened in the past helps cities/regions better understand the climate risks they currently face. By identifying long-term trends, they can also see **how their areas might be affected by climate change impacts in the longer term** when the present risks are intensified.

Most urban areas are affected by more than one hazard, and the most recurrent impacts in EU cities are:

- Heat waves on human health.
- Droughts on water management.
- Inland and/or coastal floods – due to storm surges and heavy rainfalls – affect people, infrastructure, buildings, and services.<sup>18</sup>

Information about local past extreme weather events, such as flooding, heatwaves or wildfires, may be collected and maintained by national or regional civil protection or disaster management authorities, the national meteorological service, or an environmental agency.

It can be helpful to consult local departments such as transport, public health, or infrastructure, which may be able to provide information about the types of climate-related hazards that have affected their area the most.<sup>19</sup>

[Urban Adaptation Map Viewer](#) provides an overview of the current and future climate hazards facing European cities and regions, the sensitivity of the cities/regions to these hazards and their adaptive capacity. The map viewer collates information from various sources on the observed and projected spatial distribution and intensity of high temperatures, flooding, water scarcity, wildfires, and vector-borne diseases.

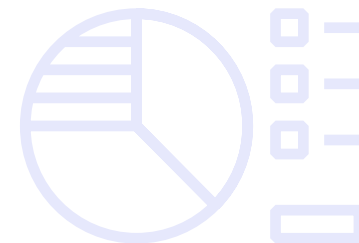
#### Main activities of defining climate hazards for the city

- » **Gather data about past and current climate-related impacts** through desk research and consultations with local stakeholders and corresponding agencies.

<sup>17</sup> [www.climate-adapt.eea.europa.eu/en/knowledge/tools/urban-ast/step-2-1](http://www.climate-adapt.eea.europa.eu/en/knowledge/tools/urban-ast/step-2-1)

<sup>18</sup> Tapia et al. (2017)

<sup>19</sup> [www.climate-adapt.eea.europa.eu/en/knowledge/tools/urban-ast/step-2-1](http://www.climate-adapt.eea.europa.eu/en/knowledge/tools/urban-ast/step-2-1)



## STEP 2

### Analysing Climate Projections and Future Impacts

Adaptation plans and measures must consider the potential future impacts of changing climate conditions. Many future climate impacts will likely be caused by more frequent and extreme versions of the current extreme weather events. However, new hazards and impacts may also occur. **Accessing and correctly interpreting information** about the projected climate impacts is crucial to develop a long-term adaptation strategy.<sup>20</sup>

There are many easily accessible sources of information about climate change projections and data in Europe, such as:

- European Climate Data explorer<sup>21</sup>
- Adaptation Dashboard<sup>22</sup>
- IPCC WGI Interactive Atlas<sup>23</sup>
- IPCC Data Distribution Centre<sup>24</sup>
- Copernicus Climate Data Store<sup>25</sup>
- Climate Change Knowledge Portal<sup>26</sup>
- EEA and other European agency's technical reports (The Lancet Public Health study<sup>27</sup>)
- National and international meteorological centres and the European Centre for Medium-Range Weather Forecasts

For more information, consult this [ClimateADAPT](#) page.

#### Main activities for defining future climate hazards

- » **Identify climate threats** for the target area based on short, mid and long-term projections and scenarios.

<sup>20</sup> [www.climate-adapt.eea.europa.eu/en/knowledge/tools/urban-ast/step-2-2](http://www.climate-adapt.eea.europa.eu/en/knowledge/tools/urban-ast/step-2-2)

<sup>21</sup> [www.climate-adapt.eea.europa.eu/en/knowledge/european-climate-data-explorer](http://www.climate-adapt.eea.europa.eu/en/knowledge/european-climate-data-explorer)

<sup>22</sup> [www.climate-adapt.eea.europa.eu/en/mission/knowledge-and-data/data-dashboards](http://www.climate-adapt.eea.europa.eu/en/mission/knowledge-and-data/data-dashboards)

<sup>23</sup> [www.interactive-atlas.ipcc.ch/](http://www.interactive-atlas.ipcc.ch/)

<sup>24</sup> [www.ipcc-data.org/](http://www.ipcc-data.org/)

<sup>25</sup> [www.cds.climate.copernicus.eu/#!/home](http://www.cds.climate.copernicus.eu/#!/home)

<sup>26</sup> [www.climateknowledgeportal.worldbank.org/](http://www.climateknowledgeportal.worldbank.org/)

<sup>27</sup> [www.thelancet.com/journals/lanpub/article/PIIS2468-2667\(22\)00197-9/fulltext](http://www.thelancet.com/journals/lanpub/article/PIIS2468-2667(22)00197-9/fulltext)





## STEP 3

### Conducting Indicator-Based RVA Using the MISSION CE CLIMATE TOOL

MISSION CE CLIMATE Indicator Framework has been developed to provide the basis for the local dashboard-style RVA assessment and to support the exploratory climate impact analysis with local stakeholders.

It provides a meaningful basis for data gathering, knowledge building, constructive cross-sectoral discussions, and joint assessment of local conditions by local Climate Missions.<sup>28</sup>

The MISSION CE CLIMATE Indicator Framework tool is an Excel spreadsheet.

Users are encouraged to add any indicators relevant to their context and currently not included in the framework.

A vital part of the local RVA assessment is the Exploratory analysis with key stakeholders.

This step usually includes a (series of) workshops/ meetings with city stakeholders to explain the RVA approach and the required data, contextualise the assessment, understand the needs and expectations of diverse stakeholders, discuss selected indicators, their relevance, ranking methods, impact scores, identify missing data and indicators, etc.

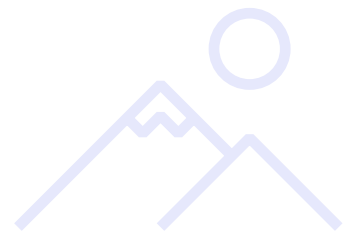
Such a participatory assessment approach can benefit from cross-sectoral diversity and provide space for critical multi-perspective discussion. As a result, this can support the development of a shared understanding of challenges and opportunities in adaptation to climate change and resilience building.

#### Main activities of the exploratory analysis and RVA process

- » **Primary information sources** such as city agencies, civil protection, utility companies, and universities are mapped.
- » **The best scale of analysis** (e.g. city, municipality, region) is selected.
- » **Initial data gathering and processing.**
- » **Stakeholder engagement** (e.g. through local Climate Missions) and use of MISSION CE CLIMATE indicator framework.
- » Qualitative understanding of the **target area's specificities and climate change impacts.**
- » A contact point for **communication and data sharing** with local authorities and other relevant stakeholders is established.
- » Indicator adaptations.
- » **Vulnerability and risk scores are assessed.**

<sup>28</sup> Missions will function as climate resilience living labs and will co-create and design climate resilience strategy and local action plans





## STEP 4

### Understanding the Role of Surrounding Areas in Adaptation

Cities cannot be treated in isolation from the regions surrounding them. Settlements depend on both their immediate and further surroundings for various climate-sensitive services and products: agricultural food production, water supply, infrastructure networks, energy production, waste and wastewater management, forestry materials, recreation opportunities and others. Therefore, climate change impacts that might not directly impact the city or town can still have severe repercussions if they hit the area providing these services. Vice versa, climate impacts in the city (e.g. flooding) can affect the surrounding areas if access to urban jobs, resources and various services is disrupted. Thus, the adaptation of a city requires an integrated approach that considers the rural-urban interface and wider surrounding areas. To ensure resilience, collaboration with neighbouring administrations may be required.<sup>29</sup>

#### Main activities for understanding the role of surrounding areas

- » **Identify core interdependencies** with surrounding areas.
- » Seek **collaboration with relevant stakeholders** from those areas.

<sup>29</sup> [www.climate-adapt.eea.europa.eu/en/knowledge//tools/urban-ast/step-2-5](http://www.climate-adapt.eea.europa.eu/en/knowledge//tools/urban-ast/step-2-5)





## STEP 5

### Identifying Main Adaptation Concerns and Defining Objectives

This step aims to develop a **strategic direction for adaptation planning** based on assessing climate-related risks and vulnerabilities. Identifying the main adaptation concerns is based on analysing the immediacy and severity of impacts and on the opportunities to leverage existing adaptive capacity strengths or the current resource and infrastructure management arrangements and plans.<sup>30</sup>

In general, the main issues to be considered in prioritising which climate impacts to address include:

- Already occurring impacts, in particular, the ones projected to worsen in the future.
- Serious risks that might affect the city irreversibly (e.g. sea level rise).
- The likelihood and severity of impacts in the future.
- Critical vulnerabilities and strengths (activated adaptive capacities).
- Existing mechanisms aligned with adaptation actions (e.g. refurbishment of the housing stock, spatial planning, etc.) could provide valid entry points for action.
- Mainstreaming adaptation into existing or planned initiatives could begin with examining how the vulnerable

sectors currently respond to climate- and weather-related hazards and through discussions with various departments in the municipality and the key stakeholders.

- Setting the adaptation priorities in joint agreement (engagement of stakeholders).
- Establish whether the risk is within the municipality's or engaged stakeholders' mandate and thus could be addressed through administrative arrangements.

#### Main activities of identifying main adaptation concerns

- » **Summarise key findings** from the RVA assessment and outline key adaptation concerns.

Once the main adaptation concerns are known, specific and realistic goals for the city or town can be defined, leading to the development of a climate resilience strategy and action plan.

<sup>30</sup> [www.climate-adapt.eea.europa.eu/en/knowledge//tools/urban-ast/step-2-6](http://www.climate-adapt.eea.europa.eu/en/knowledge//tools/urban-ast/step-2-6)



# Additional Assessment Methods

OPTIONAL

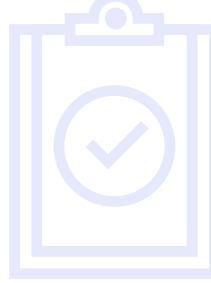


In the following we are also proposing two optional methods that could be deployed in the MISSION CE CLIMATE RVA process. These two methods have not been developed by the project but have been identified as valuable RVA tools that could be used by partners to further their local analysis. The two optional methods are the [Risk Systemicity Questionnaire](#) and [Quick Risk Estimation](#). Both methods can be valuable to local RVA assessment efforts as they propose exploring risk and vulnerabilities through somewhat different angles/lenses.

Both tools are simple to use. However, both require a participatory assessment approach that builds on cross-sectoral diversity and provides space for critical multi-perspective discussion. As a result, they support the development of a shared understanding of challenges and opportunities in the area of adaptation to climate change and resilience building.

These tools are available in the D1.3.1 package as Annexes.





## ANNEX 1

## Risk Systemicity Questionnaire

The Risk Systemicity Questionnaire (RSQ) is an Excel-based tool where users are asked to consider the relative likelihood of a broad range of **risks in their cities**, including the risks brought about and exacerbated by climate change. Based on the responses to the questions in each of the topics of the RSQ, participants are provided with a relative risk score (an estimated risk level for the city). In addition, users can access policy recommendations that may be used to address those risk scenarios that are the most threatening to the city. The purpose of the questionnaire is for it to be used by groups of users with diverse areas of expertise to prompt valuable discussions where different stakeholders' experiences can be brought together to determine a city's priorities to enable them to anticipate and appropriately respond to future challenges.

This tool is well suited for initiating cross-sectoral discussions at the level of local Climate Missions.

The questionnaire is designed in Excel and is easily navigable. It is based on various scenarios of possible futures in cities/regions. Some topics listed might be more relevant than others regarding their relation to climate change risks. Users can choose which topics to focus on.

The RSQ supports cities in "actively understanding the risk landscape" by improving their risk assessment beyond traditional methods through an innovative focus on the interactions between different types of risks.

The RSQ Excel file and manual<sup>31</sup> will be provided to all project partners to support their RVA development.

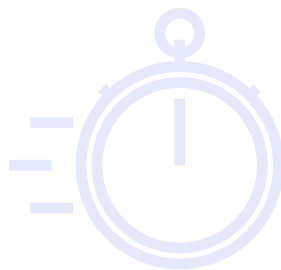
The web version of the questionnaire is available on this site: [www.rsq.smr-project.eu](http://www.rsq.smr-project.eu)

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<sup>31</sup> [www.smr-project.eu/fileadmin/user\\_upload/Documents/Resources/WP\\_3/SMR-RSQ-manual-WWW.compressed.pdf](http://www.smr-project.eu/fileadmin/user_upload/Documents/Resources/WP_3/SMR-RSQ-manual-WWW.compressed.pdf)

## ANNEX 2

## Quick Risk Estimation



The Quick Risk Estimation (QRE) tool helps identify and understand **current and future risks/stress/shocks and exposure threats** to human and physical assets.

The QRE Tool is not a full-scale risk assessment but a multi-stakeholder engagement process to establish a common understanding. The QRE Tool must be used in a workshop environment in a multi-stakeholder approach, not by an individual accessor.

As such, it is a tool that is well suited for initiating cross-sectoral discussions of local Climate Missions to jointly identify the most relevant local hazards, discuss and jointly assess, through predefined risk matrix and rating scales, local vulnerability, and exposure ratings for various sectors (infrastructure, essential services, communities, productive sector).

Once the required input data is appropriately inserted into the tool (Excel Sheet), the tool produces a Risk Summary and Vulnerability Report in a dashboard style, advising the risks and hazards to human and physical assets at the specified location.

The QRE tool uses the hazard classification outlined by the United Nations Office for Disaster Risk Reduction (UNDRR). The hazard indicators included in the QRE tool are aligned with the 10 Essentials for Making Cities Resilient Scorecard in the context of the Sendai Framework for Disaster Risk Reduction 2015 – 2030 and the Sustainable Development Goals.

The QRE tool (Excel file) will be provided to all project partners to support their RVA development.

The tool can also be accessed online at: [www.unisdr.org/campaign/resilientcities/toolkit/article/quick-risk-estimation-qre.html](http://www.unisdr.org/campaign/resilientcities/toolkit/article/quick-risk-estimation-qre.html)

# Conclusion

In conclusion, the aim of the MISSION CE CLIMATE Risk and Vulnerability Assessment is to identify adaptation and resilience strengths and weaknesses in local contexts.

The overall goal is to empower communities and decision-makers to prioritise adaptation efforts and develop effective strategies for resilience to climate change.

This Assessment Guide is available on the Mission CE Climate project website, accompanied by a video tutorial.

## MISSION CE CLIMATE Project

### Climate Resilient Communities of Central Europe

Central Europe faces many climate change challenges like the rest of the world. The main aim of the MISSION CE CLIMATE project is to overcome the disjointed sectoral responses to climate change by introducing a coordinated, cross-sectoral approach that puts local/regional authorities at the centre of the governance and management of the climate resilience process. The overall objective is to support communities in Central Europe to become resilient to climate change and to enable them to respond in a coordinated way to the impacts caused by climate change. The project will build sustainable systems (community climate missions) and community capacities (integrated strategy, local action plans, and solutions).

#### THE PROJECT'S INTENDED OUTCOMES ARE:

1. Establishment of climate resilience systems in partner communities (Community Climate Missions) supported by a joint 2030 Climate Resilience Strategy (definition of actions, business models, financing instruments) and locally tailored action plans (project portfolio approach);
2. Enhancing community capacity to adapt to climate change with new skills and tools;
3. Activated citizens contributing to community climate resilience through increased awareness and capacity (tools, etc.);
4. Solutions developed through pilot projects that respond to community adaptation challenges.

For more information on climate adaptation and good practices, consult our websites:

[www.interreg-central.eu/projects/mission-ce-climate/](http://www.interreg-central.eu/projects/mission-ce-climate/)

[www.climatehub.si](http://www.climatehub.si)



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